

Express Mail No. EV328707636US

Date of Deposit: April 26, 2004

Attorney Docket No. 24309-501

IN THE UNITED STATES PATENT AND TRADEMARK

Applicants: Mark Hoffman et al.
Serial No.: 09/696,762
Filed: October 25, 2000
Title: INVESTMENT ADVICE SYSTEMS AND METHODS
Examiner: N. Nguyen
Art Unit: 3628

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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RESPONSE TO OFFICE ACTION DATED MARCH 29, 2004

In response to the Examiner's restriction requirement in the Office Action mailed March 29, 2004, Applicants hereby elect without traverse Group I, i.e., Claims 11, 15-18, 46, 49, 51-54, 56-72.

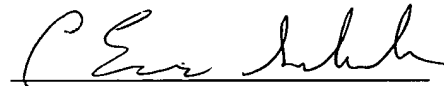
Applicants make all elections without prejudice to pursuing non-elected subject matter in one or more divisional applications.

CONCLUSION

The application as presented is believed to be in allowable condition, and Applicants respectfully request a favorable examination. To answer any questions, or otherwise further the prosecution of this application, the Examiner is encouraged to contact the undersigned attorney at the number provided below.

Applicants believe that no additional fees are due in connection with this submission. However, the Commissioner is authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 24309-501. A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,



C. Eric Schulman
Registration No. 43,350
Mintz, Levin, Cohn, Ferris,
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Boston, Massachusetts 02111
Telephone 617/348-1765
Attorneys for Applicants
Customer Number 30623

Date: April 26, 2004

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4-28-04

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TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified application are the following documents:

- ☒ Response to Office Action (Restriction Requirement) mailed March 29, 2004; and
- ☒ Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at 617-542-6000, Boston, Massachusetts.

Applicants believe that no additional fees are due in connection with this submission. However, the Commissioner is authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 24309-501. A duplicate copy of this Transmittal Letter is enclosed.

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